

APPLICATION FOR A UK AGENCY WITH ATHORA IRELAND

Please complete this form in **BLOCK CAPITALS** and in **ballpoint pen**.

This form should be completed with our Terms of Business (UK). If you're a member of a network, our Terms of Business (UK) form isn't required.

This form can be scanned and e-mailed to dublin.agency.ai@athora.com. Alternatively, you can send this form by airmail to Athora Ireland plc at 2nd Floor, IFSC House, Custom House Quay, Dublin, Ireland. If you have any queries please contact the Agency team on lo-call 0845 6000 173.

1. APPLICANT

Please give the full registered name of the legal entity which is applying for a UK agency (the 'Applicant').

The company name provided will appear on all correspondence.

Address

Postcode

We offer a range of online services including access to your new business pipeline, daily valuations and eTrading on applicable portfolios.

You can register for our online services at www.athora.com/ie

Email

Phone number

Fax number

Website address

2. LEGAL STATUS OF APPLICANT

Please tick the relevant box below:

☐ Limited company

If a limited company, please give your registration number.

☐ Partnership

☐ Sole trader

Other (please specify)

Are you (only tick one box):

☐ Directly authorised

☐ Member of a network

☐ Appointed representative

☐ Network organisation

Name of network/principal firm

Do you use the services of an administration company?

☐ Yes ☐ No

If yes, which company?

3. DIRECTORS'/PARTNERS' DETAILS

Please give the full names and home addresses of up to three directors/partners of the company/firm. If you're a sole trader, please give your full name and home address.

Title

Mr / Mrs / Miss / Ms / Other - please specify

Forename(s)

Surname

Address

Postcode

Title

Mr / Mrs / Miss / Ms / Other - please specify

Forename(s)

Surname

Address

Postcode

Title

Mr / Mrs / Miss / Ms / Other - please specify

Forename(s)

Surname

Address

Postcode

4. BANKRUPTCY/LIQUIDATION

Has the applicant or any of its directors/partners ever been made personally bankrupt, entered into a compromise agreement with creditors or been a director or shareholder of a company which has gone into insolvent liquidation?

☐ Yes ☐ No

If 'Yes', please give full details.

5. COUNTY COURT JUDGEMENTS/SHERIFF COURT DECREES

Does the applicant or any of its directors/partners have any unsatisfied county court judgements or sheriff court decrees against it/them?

☐ Yes ☐ No

If 'Yes', please give full details.

6. TERMS OF BUSINESS

Has the applicant, or have any of its current directors/partners, been a sole trader, director or partner of a firm which has had a terms of business or agency appointment withdrawn?

☐ Yes ☐ No

If 'Yes', please give full details.

7. REMUNERATION

All remuneration payments will be made in sterling.

Please note, if you're an appointed representative or a member of a network, we can only make payments to your principal firm or network.

If you require payments to be made directly to an account in your own firm's name we'll require written consent from your network to do this. Your network must state that they retain liability for any future agency debts that may occur.

Bank details for commission and adviser charge payments:

Bank name

Sort code

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Account name

Account number

8. REGISTRATION DETAILS

FCA registration number

Trading name (if different from name stated in section 1)

Date of start of trade (dd/mm/yyyy)

Registered office (if you're a company and the address is different from that stated in section 1.)

If you're authorised by any body other than the FCA, please contact us directly to verify that your application can be accepted.

9. DECLARATION

The applicant agrees to be bound by Athora Ireland plc's Terms of Business (UK).

Data protection and your privacy rights

The information you provide on this form will be held by us in accordance with all applicable data protection laws including the Irish Data Protection Acts 1988 and 2003 and Directive 95/46/EC and thereafter the General Data Protection Regulation "GDPR" (and all laws implementing or supplementing the GDPR). We need to collect personal details such as names and addresses in order to administer your agency application. This means that Athora Ireland plc is acting as a data controller. It is important that you understand how your information will be used, stored, what rights you have (such as access to and correction of your information) and for you to understand when we are bound by law to share information with third parties. We may share permitted relevant information, including personal data with regulatory bodies or authorities such as the UK's Financial Conduct Authority and when we need to in order to comply with anti-money laundering laws and for other purposes, for example detecting crime. We have a data protection management system in place to oversee the effective and secure processing of personal data. Our **Protecting your personal information** leaflet can be found on our website www.athora.com/ie,

it explains our data protection management system and the rights and choices a data subject has in respect of his or her personal data. If you have any queries or concerns please contact our Data Protection Officer at Athora Ireland plc, 2nd Floor, IFSC House, Custom House Quay, Dublin, D01 R2P9, Ireland.

Connected person's privacy

If you provide information relating to any individuals connected with your agency application, you must take all steps necessary to legitimize your processing of their personal information. You can meet this requirement by:

- obtaining their consent to processing the information before you share it with us;
- provide our contact details to the individual connected with your agency application;
- provide them with a copy of our **Protecting your personal information** leaflet;
- tell them the details of your disclosure of their information to us, the purposes for which it has been disclosed, our possible further disclosure of information as necessary, and that they have rights of access to and correction of their personal information which they can assert by contacting our Data Protection Officer at the address above.

9. DECLARATION - CONTINUED

By providing us with any information originating from a third party, you confirm that you have taken and completed these steps.

Date (dd/mm/yyyy)

Signature

Director/partner/sole trader
(delete as appropriate)

Please note that if you don't fully complete all the sections, there may be a delay in you receiving remuneration.

Please send the completed form to:

Athora Ireland plc,
2nd Floor
IFSC House
Custom House Quay
Dublin
Ireland

